

Ionic Graft Copolymerization.

VI. Graft Copolymerization of β -Propiolactone and *N*-Vinylcarbazole onto Trunk Polymer Containing Carboxylic Acid, Sulfonic Acid, and Their Salts

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Synopsis

It was pointed out in previous papers that both cationic and anionic polymerization might be involved simultaneously in grafting onto trunk polymers containing —COOH or $\text{—SO}_3\text{Na}$. The graft copolymerization of β -propiolactone (β PL)-*N*-vinylcarbazole (NVCZ) onto styrene-divinylbenzene copolymers containing carboxylic acid, sulfonic acid, and their salts was carried out in order to distinguish between the polymers produced by anionic and cationic mechanisms. The polymer obtained by the polymerization of β PL-NVCZ with $\text{BF}_3 \cdot \text{OEt}_2$, a typical cationic catalyst, consisted mainly of NVCZ units, but the polymer obtained with BuLi, a typical anionic catalyst, consisted mainly of β PL units. In the graft copolymerization of NVCZ- β PL onto trunk polymer containing —COOH , the NVCZ contents of the branch polymer and the toluene-insoluble fraction were estimated to be ca. 50 mole-%; therefore these polymers were produced by both cationic and anionic mechanisms. In the case of graft copolymerization onto the trunk polymer containing SO_3Na , it was found that both cationic and anionic polymerization also occurred simultaneously.

INTRODUCTION

Ionic compounds composed of a pair of ions can be used as cationic, anionic, or relatively neutral catalysts, depending on the nature of the combination of cation and anion.

A series of studies^{1,2} has been carried out of the polymerization of β -propiolactone (β PL) by neutral catalyst. It was reported in the previous paper,¹⁻³ that polymerizations by cationic and anionic mechanisms might coexist in the polymerization of β PL with a neutral catalyst. In this paper the graft copolymerizations of β PL-*N*-vinylcarbazole (NVCZ) onto trunk polymers containing —COONa , —COOH , $\text{—SO}_3\text{Na}$, or $\text{—SO}_3\text{H}$ are studied. Many attempts to copolymerize β PL with various monomers such as β -lactones,⁴ 3,3-bis(chloromethyl)oxetane,^{5,6} epichlorohydrin,⁷ propylene oxide,⁷ acrylonitrile,⁸ styrene,^{9,10} and ethyleneimine¹¹ have been made.

NVCZ was used in the present study for the following reasons: (1) this monomer is easily polymerized, even by a cationic catalyst of low

activity, such as a relatively neutral salt or weak acid; (2) the composition of polymer produced is easily determined by elementary analysis for nitrogen; (3) the copolymer obtained is a powderlike material and is easily handled.

It is well known that NVCZ polymerizes very easily by a cationic mechanism and β PL polymerizes easily by either a cationic or anionic mechanism. A polymer consisting mainly of NVCZ units is obtained in the copolymerization of β PL and NVCZ by a typical cationic catalyst such as $\text{BF}_3 \cdot \text{OEt}_2$. On the other hand, a polymer consisting mainly of β PL units is obtained in the copolymerization of β PL and NVCZ by a typical anionic catalyst such as BuLi. Therefore, the polymer obtained by cationic mechanism is possibly separated from the polymer by anionic mechanism. The polymer obtained was separated by the solvent extraction to a toluene-soluble fraction (F_{NVCZ}), a chloroform-soluble fraction ($F_{\beta\text{PL}}$), and an insoluble fraction of grafted material (F_G). The polymerization mechanisms were investigated by the determination of the compositions of these polymer fractions. In the graft copolymerization of β PL and NVCZ onto trunk polymer containing carboxyl group (RCOOH) as a relatively covalent neutral catalyst, the cationic polymerization of NVCZ by H^+ and the anionic polymerization of β PL by RCOO^- occur simultaneously in the same reaction system. Also, in the graft copolymerization onto trunk polymer containing sodium sulfonate (RSO_3Na) as a ionic neutral catalyst, both cationic and anionic polymerization occur simultaneously.

EXPERIMENTAL

Reagents

β PL was purified by CaCl_2 as shown in a previous paper.¹² NVCZ was recrystallized three times from purified *n*-hexane solution. The various trunk polymers used were prepared by the same methods as described in our previous paper.²

Procedure of Graft Copolymerization

Toluene solution of β PL and NVCZ was added onto 100–200 mesh powder of dried trunk polymer and then was polymerized *in vacuo* at 60°C under polymerization conditions shown in Table I. In the case of

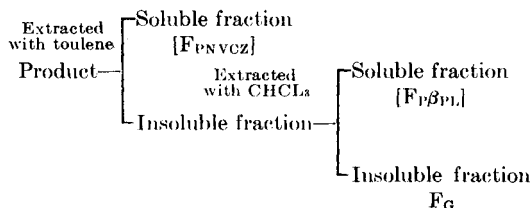


Fig. 1. Fractionation of graft copolymer of β PL–NVCZ onto trunk polymer (St–DVB copolymer).

TABLE I. Reaction Conditions of Graft Copolymerization of β PL and NVCZ

No.	Monomer										Catalyst, mole
	NVCZ					β PL					
	NVCZ + β PL		NVCZ			β PL		Total			
	mole $\times 10^{-2}$	g	mole $\times 10^2$	g	cc	mole $\times 10^{-2}$	g	cc	mole	g	
0	1	0.777	1.500	0	0	0	0.777×10^{-2}	1.500	6.0	0.150	6.6×10^{-4}
1	0.467	1.127	2.180	1.288	0.8	0.8	2.415×10^{-2}	3.106	4.5	"	"
2	0.333	0.805	1.555	1.61	1.0	1.0	"	2.713	4.9	"	"
3	0.200	0.482	0.932	1.933	1.2	1.2	"	2.322	5.4	"	"
4	0.133	0.320	0.618	2.095	1.3	1.3	"	2.124	5.6	"	"
5	0.066	0.160	0.309	2.255	1.4	1.4	"	1.930	5.8	"	"
6	0.034	0.081	0.1565	2.334	1.45	1.45	"	1.837	5.9	"	"
7	0	0	0	2.415	1.5	1.5	"	1.737	6.0	"	"

 TABLE II
 Thermal Polymerization of β PL-NVCZ^a

Expt no.	Polymerization system	Toluene, cc	Temp, °C	Time, hr	Conversion, %	Grafting, %	Grafting efficiency, %
G15-1	NVCZ + β PL ^b	4	60	120	0.66	—	—
G15-2	"	4	60	240	0.70	—	—
G15-4	"	4	80	48	trace	—	—
G15-3	"	4	120	3	0.36	—	—
G25-1	St-DVB copolymer + NVCZ + β PL ^c	5.6	80	48	1.84	3.73	14.3

^a NVCZ in monomer mixture 20 mole-%.

^b NVCZ, 0.5 g; β PL, 0.579 g.

^c St-DVB copolymer, 0.15 g; NVCZ, 0.618 g; β PL, 1.505 g.

cationic catalyst, such as $\text{BF}_3 \cdot \text{OEt}_2$ or RSO_3H , copolymerization was carried out at 0°C in nitrogen because of the high polymerization reactivity even at room temperature.

Separation of Graft Copolymer

PNVCZ dissolves in toluene, P- β PL does not dissolve in toluene but dissolves in chloroform, and the graft copolymer is insoluble in both, because of crosslinking of the trunk polymer. The crude product was separated into three fractions by the solvent extraction as shown in Figure 1.

Measurement of Intrinsic Viscosity

The intrinsic viscosity of the polymer mainly consisting of β PL units was measured at 35°C in chloroform solution and that of the polymer mainly consisting of NVCZ units was measured at 25°C in benzene.

RESULTS

Polymerization of β PL-NVCZ without Catalyst

β PL and NVCZ might be polymerized without catalyst for the following reasons: (1) existence of anionic polymerization of β PL initiated by the high basicity of NVCZ; (2) occurrence of spontaneous polymerization of β PL; (3) occurrence of radical polymerization of NVCZ; (4) strong electron-donor character¹³⁻¹⁵ of NVCZ. NVCZ might be polymerized through an electron donor-acceptor complex by some compounds such as toluene and the divinylbenzene units and styrene units in the reaction system. β PL and NVCZ were polymerized in toluene in the presence of St-DVB copolymer without catalyst under the same conditions as graft copolymerization. Such a secondary reaction can be eliminated under the grafting conditions, considering the very much lower conversion of the polymerization without catalyst relative to that of the grafting, as shown in Table II.

Copolymerization of β PL-NVCZ by $\text{BF}_3 \cdot \text{OEt}_2$

β PL and NVCZ were copolymerized by $\text{BF}_3 \cdot \text{OEt}_2$ as a typical cationic catalyst under milder conditions than the other copolymerization runs, and then the reaction mixture was poured into an excess of ammoniacal methanol in order to stop the reaction and isolate the polymer produced (Table III). The rate of copolymerization increased with increasing NVCZ content in the monomer mixture. These results show that NVCZ has higher polymerization activity by a cationic mechanism than β PL. Only a toluene-soluble fraction was obtained with the typical cationic catalyst. The compositions of polymers were determined from their nitrogen contents. The NVCZ content of polymer obtained was determined to be 88-95 mole-%; the copolymer consists of mainly NVCZ units.

TABLE III
Copolymerization of β PL-NVCZ by $\text{BF}_3 \cdot \text{OEt}_2^a$

NVCZ NVCZ + β PL	Time, min	Con- version, %	Fractionation, g		Analysis	
			F_{PNVCZ}	$F_{\text{P}\beta\text{PL}}$	N, %	NVCZ content in polymer, %
0.466	6	23.3	0.7253	0	7.1	95.2
0.333	6	13.4	0.3618	0	7.1	95.2
0.20	8	2.1	0.0485	0	7.0	91.3
0.133	9	17.8	0.3780	0	7.0	91.3
0.066	15	10.6	0.2052	0	6.9	88.2

^a $\text{BF}_3 \cdot \text{OEt}_2$, 6.6×10^{-7} mole; monomer mixture, 2.42×10^{-2} mole; total volume, 7.5 cc; in toluene, in nitrogen, 0°C .

Copolymerization of β PL-NVCZ by BuLi

The β PL and NVCZ monomer mixture was copolymerized by BuLi as a typical anionic catalyst (Table IV). Crude polymer was separated to $F_{\text{P}\beta\text{PL}}$ and a small amount of F_{PNVCZ} . $F_{\text{P}\beta\text{PL}}$ consists of only β PL units. Therefore, in the polymerization of β PL and NVCZ by the anionic mechanism, the polymer obtained consists of mainly β PL units.

On the other hand, the polymer obtained in cationic polymerization consists mainly of NVCZ units (Table III).

Graft Copolymerization of β PL-NVCZ onto Trunk Polymer Containing SO_3H

The results of graft copolymerization of β PL-NVCZ onto the trunk polymer containing SO_3H are summarized in Table V. β PL is hardly polymerized under such mild conditions (0°C , for 5 min), but the rate of polymerization onto RSO_3H increases with increasing NVCZ content in the monomer. The grafting efficiency is very low (1–5%). A large amount of toluene-soluble fraction (F_{PNVCZ}) and a trace of graft polymer (F_{G}) were obtained. The toluene-soluble fraction consists mainly of NVCZ units.

Graft Copolymerization of β PL-NVCZ onto Trunk Polymer Containing SO_3Na

The relationships of total conversion, per cent grafting, and grafting efficiency against NVCZ content in the monomer mixture are shown in Figure 2. The total conversion increases but the per cent grafting and the grafting efficiency tend to decrease with increasing NVCZ content in the monomer because of the increase in cationic polymerizability. The crude polymer was separated into three fractions by the methods shown in Figure 1. The conversions and the polymer compositions of each polymer fraction are shown in Figure 3. The conversion of F_{PNVCZ} increases and

TABLE IV
 Copolymerization of β PL-NVCZ by BuLi^a

Expt. no.	NVCZ		Time, min	Conversion, %	Fractionation, g		NVCZ content in polymer, mole-%	
	NVCZ + β PL, mole-%				F _{P_{NVCZ}}	F _{P_{βPL}}	F _{P_{NVCZ}}	F _{P_{βPL}}
G79-0	100		10	0.65	0.0097	—	100	—
G79-12	33.3		2	9.4	0.0049	0.2508	—	~0
G79-13	20.0		2	13.1	0.0020	0.3035	—	~0
G79-14	13.3		2	14.9	0.0020	0.3152	—	~0
G79-7	0		10	53.9	—	0.9373	—	0

^a Monomer mixture, 2.42×10^{-2} mole; BuLi, 6.6×10^{-4} mole; in toluene; total volume, 7.5 cc; 30°C, in N₂.

TABLE V
 Graft Copolymerization of β PL-NVCZ onto Trunk Polymer Containing SO_3H^a

Expt. no.	NVCZ		Total conversion, %	Grafting, %	Grafting efficiency, %	Branching polymer, g	Fractionation	
	NVCZ + β PL, mole-%	Time, min					Toluene-soluble fraction, g (mole-% NVCZ)	CHCl_3 -soluble fraction, g
G71-1	100	5	93.8	1.5	0.16	0.0015	0.9371 (100)	0
G71-2	33	1	8.0	3.3	2.3	0.0033	0.1416 (98.5)	0
G72-3	20	1	6.2	2.6	2.7	0.0026	0.0936 (97.3)	0
G23-4	6.6	2	10.8	4.7	3.4	0.0047	0.1351 (92.3)	0
G23-5	3.3	3	7.1	3.1	3.5	0.0031	0.0838 (83.5)	0
G23-6	0	5	0.1	~ 0	—	~ 0	0	0.0010

^a Trunk polymer, 100 mg; $-\text{SO}_3\text{H}$, 4.4×10^{-4} mole; monomer mixture, 1.61×10^{-2} mole; total volume, 5 cc; in toluene, in N_2 ; 0°C .

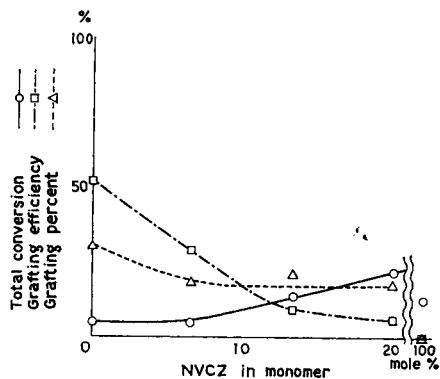


Fig. 2. Graft copolymerization of NVCZ- β PL onto trunk polymer containing SO_3Na . Trunk polymer, 100 mg; SO_3Na content, 4.5×10^{-4} mole; monomer mixture, 1.61×10^{-1} mole; total volume, 5 cc; in toluene; in N_2 ; 60°C ; 258 hr.

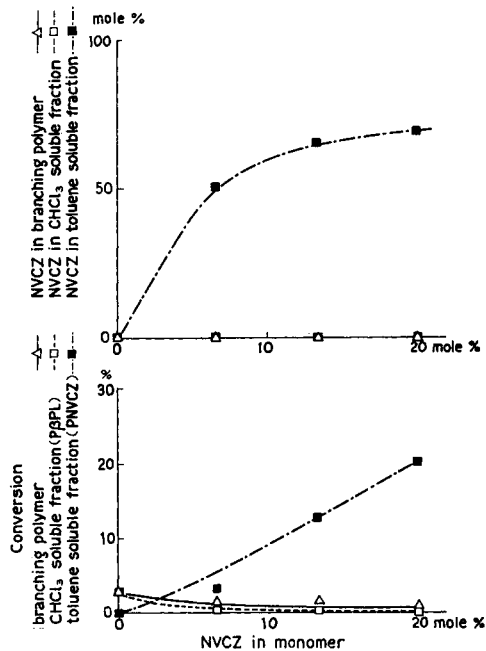


Fig. 3. Fractionation of the graft copolymer of NVCZ- β PL onto trunk polymer containing SO_3Na .

the conversions of F_G and $F_{P\beta PL}$ decrease with increase of NVCZ content in monomer. Polymer fractions F_G and $F_{P\beta PL}$ consist mainly of β PL units and F_{PNVCZ} has a composition intermediate between the polymers obtained by anionic and cationic mechanism. Therefore, these results demonstrate that F_G and $F_{P\beta PL}$ were produced by an anionic mechanism and F_{PNVCZ} was produced by both anionic and cationic mechanism.

Graft Copolymerization of β PL and NVCZ onto Trunk Polymer Containing COOH

β PL and NVCZ were graft copolymerized onto the trunk polymer containing COOH at 80°C for 2 days. NVCZ and β PL hardly polymerize

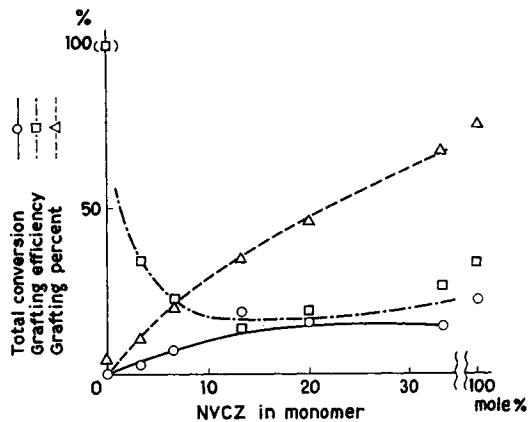


Fig. 4. Graft copolymerization of NVCA- β PL onto trunk polymer containing COOH. Trunk polymer, 100 mg; COOH content, 4.5×10^{-4} mole; monomer mixture, 1.61×10^{-4} mole; total volume, 5 cc; in toluene; 80°C; 2 days.

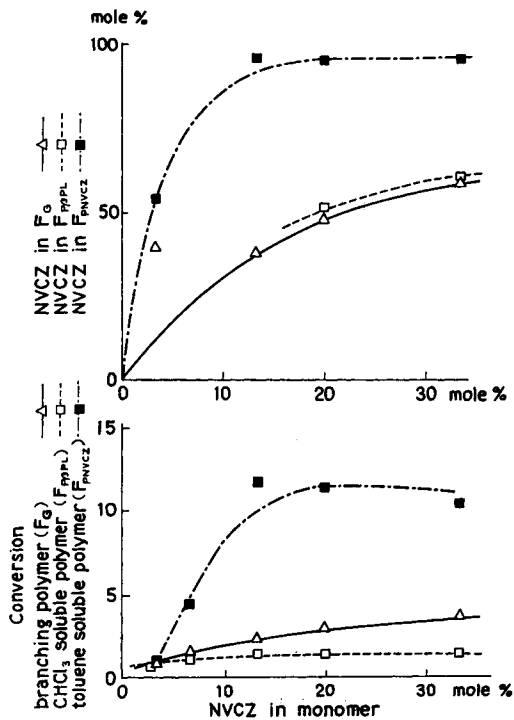


Fig. 5. Fractionation of the graft copolymer of NVCZ- β PL onto trunk polymer containing COOH.

without catalyst, even under such severe conditions (Table II, G15-4). Plots of the total conversion, the per cent grafting and the grafting efficiency against monomer composition are shown in Figure 4. Total conversion and per cent grafting increase with NVCZ content in the monomer, but grafting efficiency decreases. The crude polymer was separated into three fractions as shown in Figure 1. The conversions and the composition of F_{PNVCZ} , $F_{P\beta PL}$, and F_G are shown in Figure 5. The composition of F_{PNVCZ} , which is the main product, corresponds which that of polymer obtained by cationic mechanism. F_G and $F_{P\beta PL}$ have compositions intermediate between the polymers obtained by anionic and cationic mechanisms, therefore, it is presumed that these polymers are produced by both cationic and anionic mechanisms. NVCZ which is not polymerized by an anionic mechanism is unexpectedly contained in the branch polymer produced by an anionic mechanism. Such an unexpected result is attributed to the termination reaction between growing cation of PNVCZ and COO^- in the trunk polymer as shown in Table VI.

Graft Copolymerization of βPL and NVCZ onto Trunk Polymer Containing COONa

Graft copolymerization of βPL and NVCZ onto the trunk polymer containing COONa as anionic initiator was carried out. Total conversion, per cent grafting, and grafting efficiency decrease with increasing NVCZ content in the monomer (Fig. 6). These results indicate that polymerization by COONa proceeds by an anionic mechanism. The crude product was separated into three fractions. Conversions of F_G and $F_{P\beta PL}$ decrease but that of F_{PNVCZ} increases with increasing NVCZ content in the monomer mixture (Fig. 7). Therefore the decrease of grafting efficiency is attributed to production of F_{PNVCZ} .

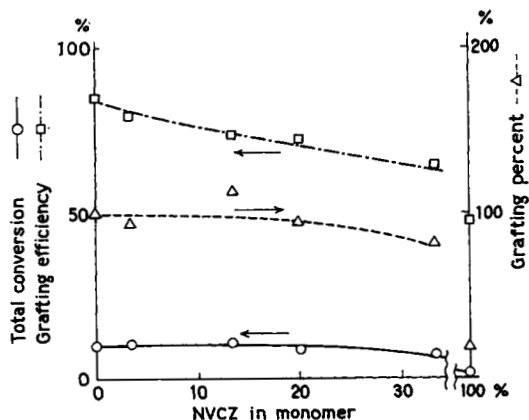


Fig. 6. Graft copolymerization of NVCZ- βPL onto trunk polymer containing COONa. Trunk polymer, 100 mg; COONa in trunk polymer, 4.4×10^{-4} mole; monomer mixture, 1.61×10^{-2} mole; total volume, 5 cc; in toluene; in N_2 ; $60^\circ C$; 5 hr.

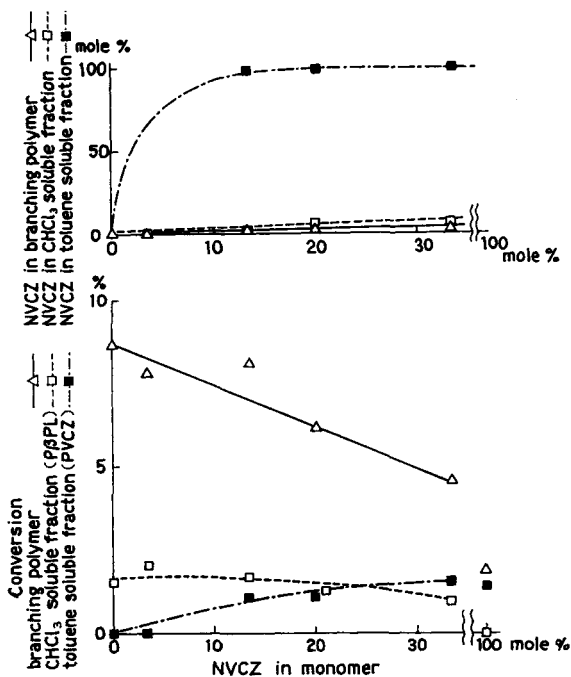


Fig. 7. Fractionation of graft copolymer of NVCZ- β PL onto trunk polymer containing COONa.

The composition of each polymer fraction is shown in Figure 7. F_G and $F_{P\beta PL}$ are the polymers obtained by an anionic mechanism and F_{PNVCZ} is the polymer obtained by cationic mechanisms.

Comparison of Graft Copolymerization of β PL and NVCZ onto Various Trunk Polymers

The graft copolymerization of β PL and NVCZ onto the various trunk polymers was compared with the copolymerization of β PL and NVCZ by a typical cationic catalyst and a typical anionic catalyst (Fig. 8). The rate of copolymerization decreases in the order $BF_3OEt_2 > -SO_3H > BuLi > -COONa > -COOH > -SO_3Na$. On the other hand, grafting efficiency increases gradually to the trunk polymer containing anionic catalyst from the trunk polymer containing cationic catalyst. A large amount of F_{PNVCZ} is produced in the cationic copolymerization of β PL and NVCZ, and the copolymer consists mainly of NVCZ units. In the anionic copolymerization of β PL and NVCZ, however, a large amount of $F_{P\beta PL}$ is produced and the copolymer consists mainly of β PL units. It was concluded from the polymer compositions that the trunk polymer containing $-SO_3H$ initiates cationic polymerization and the trunk polymer containing $-COONa$ initiates anionic polymerization, but the trunk polymers containing

—COOH or —SO₃Na simultaneously initiate both cationic and anionic polymerization.

Copolymer compositions of total polymer produced by various trunk polymer are plotted against monomer composition (Fig. 9). The order of cationic polymerization activity is $\text{BF}_3\text{OEt}_2 \approx \text{SO}_3\text{H} > \text{—COOH} > \text{—SO}_3\text{Na} > \text{—COONa} > \text{BuLi}$.

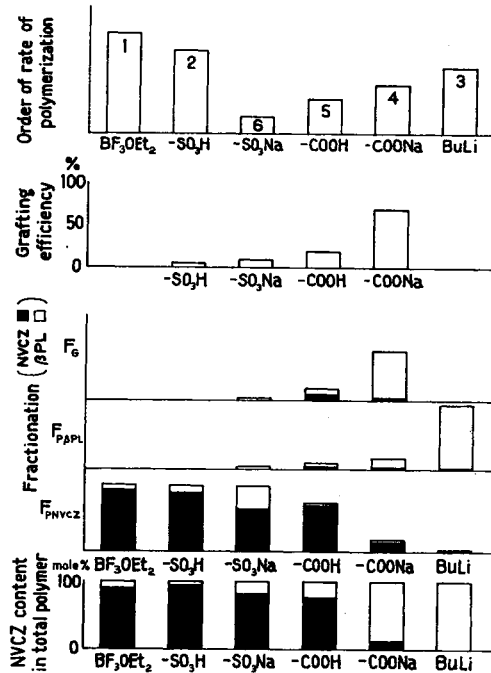


Fig. 8. Summary of the graft copolymerization of $\beta\text{PL-NVCZ}$ onto various trunk polymers. NVCZ in monomer, 20 mole-%.

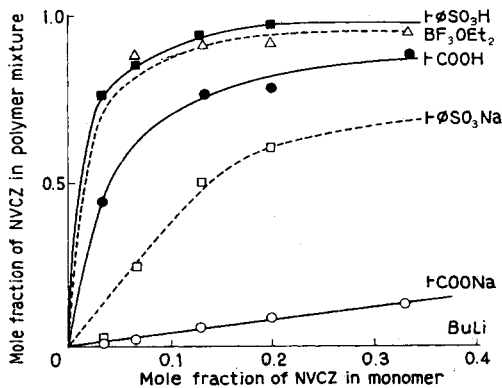


Fig. 9. Copolymer composition curves for graft copolymerization of $\text{NVCZ-}\beta\text{PL}$ onto various trunk polymers.

Graft Copolymerization by Means of Chain Termination Reaction of Growing Cation onto COO⁻ in Trunk Polymer

Although NVCZ is not polymerized by an anionic mechanism, a large amount of NVCZ is contained in the branch polymer which is produced by an anionic mechanism. This unexpected result is elucidated by considering the chain termination of the PNV CZ growing cation with COO⁻ in the trunk polymer. Then, NVCZ or β PL was polymerized by cationic catalyst in the presence of the trunk polymer containing —COOH or —COONa. The graft copolymer was obtained as shown in Table VI but, grafting efficiency is very low.

Comparison between Graft Copolymerization of β PL and NVCZ onto —SO₃Na Trunk Polymer in Bulk and in Toluene

It was presumed in our previous paper^{1,2} that the mechanism of initiation by the addition product of β PL and —SO₃Na predominates in the polymerization in toluene, but the mechanism of initiation by cation exchange between —SO₃Na and acrylic acid predominates in bulk polymerization.

Graft copolymerization in bulk and in toluene of β PL and NVCZ onto —SO₃Na trunk polymer was also compared (Table VII). In the graft copolymerization of β PL of NVCZ onto —SO₃Na trunk polymer in bulk, the grafting efficiency is very low (1.68%), and the polymer produced by cationic mechanism (NVCZ content of F_{PNVCZ}, 91.6 mole-%) and the polymer produced by anionic mechanism (NVCZ content of F_{P β PL}, ~0 mole-%) are obtained as separate fractions. On the other hand, in the graft

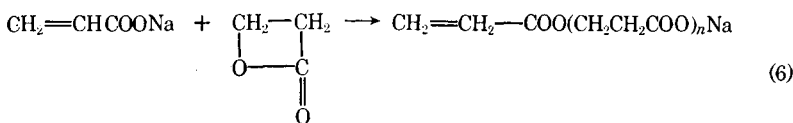
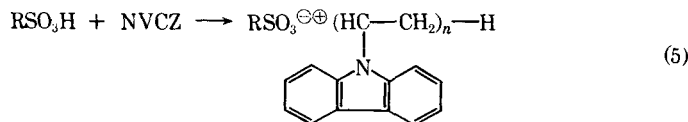
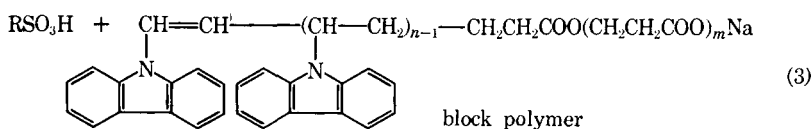
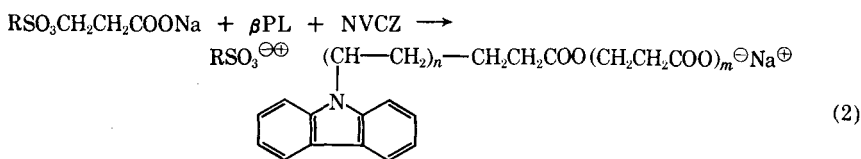
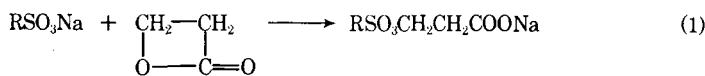


TABLE VI
Graft Copolymerization by Means of Chain Termination of Growing Cation onto COO⁻ in Trunk Polymer^a

Expt no.	Trunk polymer and wt, g	β -PL, cc	NVCZ, g	Toluene, cc	CH ₃ SO ₃ H, mole	Time, hr	Conversion, %	Grafting, %	Grafting efficiency, %
G51-1	ST-DVB-AA, 0.15	1.5	—	6	6.6×10^{-5}	3	50.5	7.1	1.21
G51-2	ST-DVB-AA, 0.05	—	0.5	2	"	1	30.0	20.0	6.67
G51-3	ST-DVB-ANa, 0.1257	1.5	—	6	"	3	54.3	35.3	4.71
G51-4	ST-DVB-ANa, 0.05	—	0.5	2	2.2×10^{-5}	1	27.2	15.4	5.65

^a COO⁻ in trunk polymer, 6.6×10^{-4} mole/150 mg; in N₂; 60°C.

TABLE VII
Graft Copolymerization of NVCZ- β PPL onto Trunk Polymer containing SO₃Na in Bulk and in Toluene^a

Exp. no.	Trunk polymer	Reaction system	Time, hr	Total conversion, %	Grafting, %	Grafting efficiency, %	Fractionation, g (and NVCZ in polymer, mole-%)		
							F _{G^b}	F _{PVCZ}	F _{PPL}
G76-1	—SO ₃ Na	Bulk	6	10.1	0.53	1.68	0.0008	0.0248	0.0221
G22-4	—SO ₃ Na	In toluene (5.8 cc)	258	5.1	19.2	29.1	0.0288	0.0618	0.082
G76-2	—SO ₃ Na + AA (6.6 × 10 ⁻⁴ mole)	"	200	6.4	~0	~0	0	0.1125	0.0132

^a Trunk polymer, 0.150 g; —SO₃Na in trunk polymer, 6.6×10^{-4} mole; NVCZ, 0.309 g; β PPL, 1.621 g; NVCZ/NVCZ + β PPL, 6.6 mole-%; 60°C; in N₂.

^b Branch polymer.

TABLE VIII
 Intrinsic Viscosity of F_{PVCZ} (Toluene-Soluble Fraction) Obtained in Graft Copolymerization of NVCZ- β PL onto the Various Trunk Polymers

Expt. no.	Catalyst	F_{PVCZ}			$F_{\beta PL}$		
		NVCZ in polymer, mole-%	NVCZ in polymer, mole-%	$[\eta]^a$	NVCZ in polymer, mole-%	$[\eta]^a$	\overline{DP}
G21-2	BF_3OEt_2	0.33	95.2	0.5	—	—	—
G23-2	$-\phi SO_3H$	0.20	~ 100	0.17	—	—	—
G22-1	$-\phi SO_3Na$	0.33	34.1	0.125	0	328	23600
G25-1	$-\text{COOH}$	0.33	96.2	0.21	—	—	—
G24-1	$-\text{COONa}$	0.33	~ 100	0.33	6.32	74.0	5330
—	$NEtCZ^b$	—	100	0.014	—	—	—

^a In benzene at 25°C.

^b *N*-Ethylcarbazole.

copolymerization in toluene, the grafting efficiency is comparatively high (29.1%), and there is a polymer fraction (F_{PNVCZ}) produced by both of cationic and anionic mechanism (NVCZ content of F_{PNVCZ} , 51.5 mole-%). These results demonstrate that the mechanism shown by eqs. (1)–(3) predominates in the graft copolymerization in toluene, but the mechanism shown by eqs. (4)–(6) predominates in bulk.

When equivalent acrylic acid was added in the graft copolymerization system in toluene, the same behavior as in bulk was observed (Table VII, G 76-2). This result supports the mechanism shown by eqs. (4)–(6) for the graft copolymerization of β PL and NVCZ onto $-\text{SO}_3\text{Na}$ trunk polymer in bulk.

Intrinsic Viscosity of $F_{\beta\text{PL}}$ and F_{PNVCZ} Obtained in the Graft Copolymerization onto Various Trunk Polymers

It was found that both a polymer produced by anionic mechanism and a polymer produced by cationic mechanism are obtained in the polymerization of β PL and NVCZ by a neutral catalyst. Intrinsic viscosities of these fractions were measured (Table VIII). Intrinsic viscosities of the polymer fractions (F_{PNVCZ}) obtained in the grafting onto various trunk polymers are very much larger than that of *N*-ethylcarbazole, therefore these fractions are polymers.

DISCUSSION

Proposed Mechanism of Polymerization by Sodium Sulfonate

The polymerization behaviors of β PL by sodium sulfonate which has been investigated in this paper and our previous paper^{1,2} is summarized as follows.

Homopolymerization of β PL. The rate of polymerization by $\text{CH}_3\text{-}\phi\text{SO}_3\text{Na}$ in bulk is quite fast but that in toluene is very slow.

β PL is converted easily into acrylic acid by heating in bulk, but it is almost never converted in toluene.

The rate of polymerization of β PL by $\text{CH}_3\phi\text{SO}_3\text{Na}$ in toluene is accelerated by addition of acrylic acid.

The polymer obtained by $\text{CH}_3\phi\text{SO}_3\text{Na}$ has a double bond at one end and a carboxyl group at another end.

Graft Copolymerization of β PL. Grafting efficiency is lower than that in the grafting onto trunk polymer containing anionic catalyst ($-\text{COONa}$), but is a little higher than that in grafting onto the trunk polymer containing catalyst ($-\text{SO}_3\text{H}$).

Grafting efficiency in bulk is very much lower than that in toluene.

β PL is polymerized only on the surface of trunk polymer. The homopolymer by-product is produced in low amounts because of the low polymerization activity.

Graft Copolymerization of β PL and NVCZ. The rate of polymerization increases, but per cent grafting and grafting efficiency decrease with increasing NVCZ content in monomer.

F_G and $F_{P\beta PL}$ consist of only β PL units, but F_{PNVCZ} has a composition intermediate between the polymers obtained by cationic and anionic mechanisms. These results demonstrate that the initiation mechanism shown by eq. (1) predominates in the polymerization in bulk and that shown by eq. (4) predominates in toluene.

When β PL and NVCZ are polymerized by sodium sulfonate, both anionic and cationic polymerizations occur simultaneously in the same reaction system.

Proposed Mechanisms of Polymerization by Carboxylic Acid

Two polymerization mechanisms, namely cationic polymerization initiated by a proton and anionic polymerization initiated by a carboxyl anion, are proposed for the polymerization by carboxylic acid.

The results on polymerization behavior of β PL with carboxylic acid^{1,2} are summarized as follows.

For polymer obtained with acetic acid, about 50% of polymers have acetyl groups and carboxyl groups at each polymer chain end. In addition, the other 50% of polymers have double bonds and carboxyl groups.

The characteristic bands corresponding to acid anhydride, which must be observed in the polymer produced in cationic mechanism, could not be detected in the infrared spectrum of low molecular weight polymer obtained by acetic acid.

The grafting efficiency is relatively higher than in the case of trunk polymers containing cationic or ionic neutral catalyst, but lower than in those with anionic catalyst.

In the graft copolymerization of β PL and NVCZ, both cationic and anionic polymerization occur simultaneously.

In the graft copolymerization onto RCOOH trunk polymer, it was expected that graft copolymer might be produced by the termination reaction of growing cations initiated by the proton with carboxyl anion in the trunk polymer (Table VI). The grafting efficiency in the graft copolymerization by chain termination between the growing cation and the carboxyl anion in the trunk polymer is very low, but the grafting efficiency onto the trunk polymer containing $-\text{COOH}$ was relatively high.

These results demonstrate that the polymerization of β PL by carboxylic acid proceeds predominantly by the anionic mechanism, and the copolymerization of β PL and NVCZ by carboxylic acid proceeds simultaneously by both anionic and cationic mechanisms.

CONCLUSION

Initiation mechanisms of ionic neutral and covalent neutral catalysts were investigated by means of graft copolymerization of β PL and NVCZ.

The order of the rate of polymerization and the grafting efficiency are $\text{SO}_3\text{H} > \text{COONa} > \text{COOH} > \text{SO}_3\text{Na}$ and $\text{COONa} > \text{COOH} > \text{SO}_3\text{Na} > \text{SO}_3\text{H}$.

Since the polymers obtained by the cationic and anionic mechanism consist of mainly NVCZ and βPL , respectively, they could be separated conveniently.

In the graft copolymerization of βPL and NVCZ onto SO_3Na trunk polymer, small amount of F_G and $F_{P\beta\text{PL}}$ consist mainly of βPL units, which F_{PNVCZ} has a composition intermediate between the polymers obtained by anionic and cationic mechanisms.

Therefore, it was concluded that F_G and $F_{P\beta\text{PL}}$ are produced by an anionic mechanism and F_{PNVCZ} is produced by both anionic and cationic mechanisms.

Also, simultaneous polymerization by cationic and anionic mechanisms was observed in the grafting onto the trunk polymer containing COOH .

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Received March 4, 1968